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DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

Title of Invention

METHODS AND SYSTEMS FOR EXTENDED IN VITRO CULTURE OF NEURONAL CELLS

As the below named inventor(s), I/we declare that:

This declaration is directed to:

- ☐ The attached application, or
☒ Application No. 10/618,076, filed on July 11, 2003,
☐ as amended on _____ (if applicable);

I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;

I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including material information which became available between the filing date of the prior application and the National or PCT International filing date of the continuation-in-part application, if applicable; and

All statements made herein of my/our own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

FULL NAME OF INVENTOR(S)

Inventor one: Ryo Kubota

Citizen of: Japan

Signature: *R. Kubota*

Date: Sep. 2, 2003

Inventor two: Thomas A. Reh

Citizen of: United States

Signature: _____

Date: _____

Inventor three: Andrew J. Fischer

Citizen of: Canada

Signature: _____

Date: _____

Inventor four: _____

Citizen of: _____

Signature: _____

Date: _____

☐ Additional inventors are being named on _____ additional form(s) attached hereto.


Burden Hour Statement: This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is used by the public to file (and the PTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 1 minute to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Docket No. 100118.401

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DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

Title of Invention	METHODS AND SYSTEMS FOR EXTENDED IN VITRO CULTURE OF NEURONAL CELLS		
<p>As the below named inventor(s), I/we declare that:</p> <p>This declaration is directed to:</p> <p style="padding-left: 40px;">The attached application, or</p> <p style="padding-left: 40px;"><input checked="" type="checkbox"/> Application No. <u>10/618,076</u>, filed on <u>July 11, 2003</u>, as amended on _____ (if applicable);</p> <p>I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;</p> <p>I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;</p> <p>I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including material information which became available between the filing date of the prior application and the National or PCT International filing date of the continuation-in-part application, if applicable; and</p> <p>All statements made herein of my/our own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.</p>			
FULL NAME OF INVENTOR(S)			
Inventor one:	<u>Ryo Kubota</u>	Citizen of:	<u>Japan</u>
Signature:	_____	Date:	_____
Inventor two:	<u>Thomas A. Reh</u>	Citizen of:	<u>United States</u>
Signature:		Date:	<u>4/1/04</u>
Inventor three:	<u>Andrew J. Fischer</u>	Citizen of:	<u>Canada</u>
Signature:	_____	Date:	_____
Inventor four:	_____	Citizen of:	_____
Signature:	_____	Date:	_____
<input type="checkbox"/> Additional inventors are being named on _____ additional form(s) attached hereto.			

Burden Hour Statement: This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is used by the public to file (and the PTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 1 minute to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Docket No. 100118.401

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PTO/SB/01A (10-00).

Approved for use through 10/31/2002. OMB 0651-0032. U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

Title of Invention	METHODS AND SYSTEMS FOR EXTENDED IN VITRO CULTURE OF NEURONAL CELLS
---------------------------	---

As the below named inventor(s), I/we declare that:

This declaration is directed to:

The attached application, or

☒ Application No. 10/618,076, filed on July 11, 2003,
as amended on _____ (if applicable);

I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;

I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including material information which became available between the filing date of the prior application and the National or PCT International filing date of the continuation-in-part application, if applicable; and

All statements made herein of my/our own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

FULL NAME OF INVENTOR(S)

Inventor one: Ryo Kubota Citizen of: Japan

Signature: _____ Date: _____

Inventor two: Thomas A. Reh Citizen of: United States

Signature: _____ Date: _____

Inventor three: Andrew J. Fischer Citizen of: Canada

Signature: Andy Fischer Date: Mar. 25/04

Inventor four: _____ Citizen of: _____

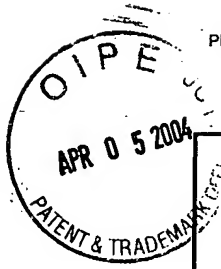
Signature: _____ Date: _____

☐ Additional inventors are being named on _____ additional form(s) attached hereto.

Burden Hour Statement: This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is used by the public to file (and the PTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 1 minute to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Docket No. 100118.401

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Please type a plus sign (+) inside this box → +

EXPRESS MAIL NO. EV336613388US

PTO/SB/81 (10-00)

Approved for use through 10/31/2002. OMB 0651-0035
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

ELECTION AND POWER OF ATTORNEY OR AUTHORIZATION OF AGENT	Application Number	10/618,076
	Filing Date	July 11, 2003
	First Named Inventor	Ryo Kubota
	Group Art Unit	
	Examiner Name	
	Attorney Docket Number	100118.401

I hereby appoint:

☒ Practitioners at Seed IP Law Group PLLC

OR

☐ Practitioner(s) named below:

Customer Number

00500

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:

☐ The above-mentioned Customer Number.

OR

<input type="checkbox"/> Firm or Individual Name					
Address					
Address					
City		State		ZIP	
Country					
Telephone		Fax			

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

☒ As assignee of record of the entire interest I/we hereby elect, under 37 C.F.R. § 3.71, to prosecute the application to the exclusion of the inventor(s).

SIGNATURE of Applicant or Assignee of Record

Name	John Villa
Signature	
Date	02 APR 04

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☐ *Total of _____ forms are submitted.

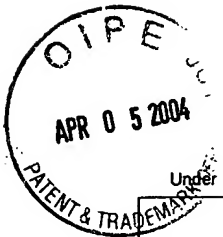
Burden Hour Statement: This form is estimated to take 3 minutes to complete. Time will vary depending upon the needs of the individual case. Any Comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/96 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Ryo Kubota et al.Application No./Patent No.: 10/618,076 Filed/Issue Date: July 11, 1003Entitled: METHODS AND SYSTEMS FOR EXTENDED IN VITRO CULTURE OF NEURONAL CELLSUniversity of Washington

(Name of Assignee)

a

university

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached

2. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached

3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.☐ Copies of assignments or other documents in the chain of title noted in B above are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

02APR04

Date

John Villa

Typed or printed name

Signature

Licensing Officer

Title

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

UW TechTransfer
INVENTION LICENSING

University of Washington
4311 11th Avenue N.E., Suite 500
Seattle, WA 98105-4608
TEL 206-543-3970

uwinvent@u.washington.edu
Campus mail: Box 354990
depts.washington.edu/techtran
FAX 206-685-4767



March 18, 2004

To: James Severson
Vice Provost

From: Paula Szoka
Director

Re: Signature Authority

In accordance with your letter to me of October 6, 2003, delegating signature authority to me with authority to sub-delegate, I hereby sub-delegate signing authority to members of my staff as follows:

Ariadna Santander: Material Transfer Agreements
 Confidentiality Agreements

Gail Y. Herman, Brad
Laesch, and Fiona
Wills: Small Entity Statements
 Powers of Attorney

Marcia Kaufman, John
Villa and Paul Brynes: Small Entity Statements
 Powers of Attorney
 Confidentiality Agreements
 Material Transfer Agreements

cc: Office of Technology Licensing staff

COPY

ASSIGNMENT

WHEREAS, we, Ryo Kubota, Thomas A. Reh and Andrew J. Fischer (hereinafter referred to as ASSIGNORS), having mailing addresses of 6246 45th Avenue N.E., Seattle, Washington 98115; 9006 25th Avenue N.E., Seattle, Washington 98115; and 16720 6th Avenue West, Unit E104, Lynnwood, Washington 98037, respectively, are the joint inventors of an invention entitled "METHODS AND SYSTEMS FOR EXTENDED IN VITRO CULTURE OF NEURONAL CELLS," as described and claimed in the specification for which an application for United States letters patent was filed on July 11, 2003 and assigned Application No. 10/618,076. This application claims the benefit of Provisional Application No. 60/395,973, filed on July 12, 2002.

WHEREAS, University of Washington, (hereinafter referred to as ASSIGNEE), an organization existing under the laws of the State of Washington, having a place of business at 4311 11th Avenue N.E, Suite 500, Seattle, Washington 98105-4608, is desirous of acquiring the entire right, title and interest in and to the invention and in and to any letters patent that may be granted therefor in the United States and in any and all foreign countries;

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS hereby sell, assign and transfer unto said ASSIGNEE, the entire right, title and interest in and to said invention, said application and any and all letters patent which may be granted for said invention in the United States of America and its territorial possessions and in any and all foreign countries, and in any and all divisions, reissues and continuations thereof, including the right to file foreign applications directly in the name of ASSIGNEE and to claim priority rights deriving from said United States application to which said foreign applications are entitled by virtue of international convention, treaty or otherwise, said invention, application and all letters patent on said invention to be held and enjoyed by ASSIGNEE and its successors and assigns for their use and benefit and of their successors and assigns as fully and entirely as the same would have been held and enjoyed by ASSIGNORS had this assignment, transfer and sale not been made. ASSIGNORS hereby authorize and request the Commissioner of Patents and Trademarks to issue all letters patent on said invention to ASSIGNEE. ASSIGNORS agree to execute all instruments and documents required for the making and prosecution of applications for United States and foreign letters

patent on said invention, for litigation regarding said letters patent, or for the purpose of protecting title to said invention or letters patent therefor.

Sep 2, 2003
Date

Ryo Kubota
Ryo Kubota

State of Washington)
) ss.
County of King)

I certify that I know or have satisfactory evidence that Ryo Kubota is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.



Dated 9-2-2003
Signature of Notary Public Francisco Rizo
Printed Name FRANCISCO RIZO
My appointment expires 8-9-2005

Date

Thomas A. Reh

State of _____)
) ss.
County of _____)

I certify that I know or have satisfactory evidence that Thomas A. Reh is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated _____
Signature of Notary Public _____
Printed Name _____
My appointment expires _____

Date _____

Andrew J. Fischer

State of _____)

) ss.

County of _____)

I certify that I know or have satisfactory evidence that Andrew J. Fischer is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated _____

Signature of
Notary Public _____

Printed Name _____

My appointment expires _____

COPY

ASSIGNMENT

WHEREAS, we, Ryo Kubota, Thomas A. Reh and Andrew J. Fischer (hereinafter referred to as ASSIGNORS), having mailing addresses of 6246 45th Avenue N.E., Seattle, Washington 98115; 9006 25th Avenue N.E., Seattle, Washington 98115; and 16720 6th Avenue West, Unit E104, Lynnwood, Washington 98037, respectively, are the joint inventors of an invention entitled "METHODS AND SYSTEMS FOR EXTENDED IN VITRO CULTURE OF NEURONAL CELLS," as described and claimed in the specification for which an application for United States letters patent was filed on July 11, 2003 and assigned Application No. 10/618,076. This application claims the benefit of Provisional Application No. 60/395,973, filed on July 12, 2002.

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NOW, THEREFORE, in exchange for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS hereby sell, assign and transfer unto said ASSIGNEE, the entire right, title and interest in and to said invention, said application and any and all letters patent which may be granted for said invention in the United States of America and its territorial possessions and in any and all foreign countries, and in any and all divisions, reissues and continuations thereof, including the right to file foreign applications directly in the name of ASSIGNEE and to claim priority rights deriving from said United States application to which said foreign applications are entitled by virtue of international convention, treaty or otherwise, said invention, application and all letters patent on said invention to be held and enjoyed by ASSIGNEE and its successors and assigns for their use and benefit and of their successors and assigns as fully and entirely as the same would have been held and enjoyed by ASSIGNORS had this assignment, transfer and sale not been made. ASSIGNORS hereby authorize and request the Commissioner of Patents and Trademarks to issue all letters patent on said invention to ASSIGNEE. ASSIGNORS agree to execute all instruments and documents required for the making and prosecution of applications for United States and foreign letters

patent on said invention, for litigation regarding said letters patent, or for the purpose of protecting title to said invention or letters patent therefor.

Date _____ Ryo Kubota _____
 State of _____)
) ss.
 County of _____)

I certify that I know or have satisfactory evidence that Ryo Kubota is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated _____
 Signature of _____
 Notary Public _____
 Printed Name _____
 My appointment expires _____

4/1/04 _____
 Date _____ Thomas A. Reh _____

State of Washington)
) ss.
 County of King)

I certify that I know or have satisfactory evidence that Thomas A. Reh is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated 04/01/04 _____
 Signature of _____
 Notary Public _____
 Printed Name Elsa M. YANEZ _____
 My appointment expires 01/22/08 _____



Date _____

Andrew J. Fischer

State of _____)

) SS.

County of _____)

I certify that I know or have satisfactory evidence that Andrew J. Fischer is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated _____

Signature of
Notary Public _____

Printed Name _____

My appointment expires _____

COPY

ASSIGNMENT

WHEREAS, we, Ryo Kubota, Thomas A. Reh and Andrew J. Fischer (hereinafter referred to as ASSIGNORS), having mailing addresses of 6246 45th Avenue N.E., Seattle, Washington 98115; 9006 25th Avenue N.E., Seattle, Washington 98115; and 2861 Mondavi Lane, Hilliard, Ohio 43026, respectively, are the joint inventors of an invention entitled "METHODS AND SYSTEMS FOR EXTENDED IN VITRO CULTURE OF NEURONAL CELLS," as described and claimed in the specification for which an application for United States letters patent was filed on July 11, 2003 and assigned Application No. 10/618,076. This application claims the benefit of Provisional Application No. 60/395,973, filed on July 12, 2002.

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patent on said invention, for litigation regarding said letters patent, or for the purpose of protecting title to said invention or letters patent therefor.

Date Ryo Kubota

State of _____)
_____) ss.
County of _____)

I certify that I know or have satisfactory evidence that Ryo Kubota is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated _____
Signature of
Notary Public _____
Printed Name _____
My appointment expires _____

Date Thomas A. Reh

State of _____)
_____) ss.
County of _____)

I certify that I know or have satisfactory evidence that Thomas A. Reh is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated _____
Signature of
Notary Public _____
Printed Name _____
My appointment expires _____

Mar. 25/04
Date

Andrew J. Fischer
Andrew J. Fischer

State of Ohio)
County of Franklin) ss.

I certify that I know or have satisfactory evidence that Andrew J. Fischer is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated March 25, 2004

Signature of Notary Public Cheryl Ring

Printed Name Cheryl Ring

My appointment expires _____

CHERYL RING
NOTARY PUBLIC, STATE OF OHIO
MY COMMISSION EXPIRES 03-18-08